



PATENT
Attorney Docket No.: NUASI-00106

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kevin McPartlan et al.

Serial No.: 10/633,018

Filed: July 31, 2003

For: **ESCALATED HANDLING OF
NON-REALTIME
COMMUNICATIONS**

) Group Art:

) Examiner:

) **CERTIFICATION FOR**
) **INFORMATION DISCLOSURE**
) **STATEMENT UNDER 37 CFR § 1.97(e)**

) 162 North Wolfe Road
) Sunnyvale, CA 94086

) (408) 530-9700

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Information Disclosure Statement accompanying this certification.

Certification

I hereby certify that the attached two (2) references contained in this information disclosure statement were cited within an International Search Report (ISR) mailed on August 1, 2003. **Accordingly, the references contained in the information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).**

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 9-26-03

By: Thomas B. Haverstock
Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:
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HAVERSTOCK & OWENS LLP.

Date: 9-26-03 By: Juan D. Rivas



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Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

- European Publication No. EP 1 016 998 A2; and
- PCT Publication No. WO 99/65214.



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Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 9-26-03

By: Thomas B. Haverstock
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HAVERSTOCK & OWENS LLP.
Date: 9-26-03 By: Thomas B. Haverstock

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(Modified)

SEP 29 2003

U.S. Department of Commerce
Patent and Trademark Office

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT
(Use Several Sheets If Necessary)

Applicant: Kevin McPartlan et al.

(37 CFR § 1.98(b))

Filing Date: July 31, 2003

Group Art Unit:

U.S. PATENT DOCUMENTS

Examiner Initials	Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

	Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
						Yes	No
	AK	EP 1 016 998 A2	05/07/00	EP	G06F	17/60	X
	AL	WO 99/65214	12/16/99	PCT	H04M	3/46	X
	AM						
	AN						
	AO						

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

	AP	
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Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.